

UNDP EEG and GEF
Annual Performance Report (APR)
Project Implementation Review (PIR)
2009 –
ENABLING ACTIVITIES FOR NATIONAL COMMUNICATIONS

Reporting Period = 1 July 2008 to 30 June 2009

PLEASE COMPLETE ALL SECTIONS

1. Basic Project Data

Official Project Title:

The Philippines: Enabling Activities for the Preparation of the Second National Communication on Climate Change to the UNFCCC

Project Summary (as in PIMS and Project Document)

In brief, the purposes of this project are to assist the Philippines with the enabling activities necessary to undertake an improved national greenhouse gas (GHG) inventory, to plan for actions for the mitigation of climate change and adaptation to its potential impacts of climate change, and to prepare the country's Second National Communication (SNC) to the Conference of the Parties (COP) of the UN Framework Convention on Climate Change (UNFCCC). The *main components* of the SNC formulation project are: (a) evaluation of national circumstances; (b) updating of the inventory of GHGs for the year 2000; (c) assessment of needs, barriers and opportunities for both adaptation and mitigation technologies and methodologies and to build capabilities to be able to perform such activities; (d) assessment of potential impacts of climate change in selected areas of the Philippines and prioritization of adaptation measures; and, (e) preparation of the Second National Communication of the Philippines and submission to the COP. In addition, public awareness activities and stakeholder consultations will be cross-cutting along the overall course of this exercise therefore, the preparation of the Second National Communication is expected to enhance general awareness and knowledge on climate change-related issues in the Philippines, and help highly to take them into account in the process of national planning and policy formulation.

Country The Republic of the Philippines	PIMS Number	2952
	Atlas Project Number	Award ID: 00034897 Project ID: 00037339

Project timeframe:

Date of Delegation of Authority Letter	9 May 2006	Planned Project Duration	36 months
Project Document Signature Date	20 July 2006 (Government) 2 August 2006 (UNDP)	Original Planned Closing Date	2009
Date of First Disbursement	2 February 2007	Revised Planned ¹ Closing Date	2010
Is this the Terminal	YES	NO	✓
		Date Operationally Closed	

¹ Please explain any entry here in section 8

APR/PIR?					(if applicable)	
----------	--	--	--	--	-----------------	--

Project documentation and information:

List documents/ reports/ prepared about the project.	Project Document, AWP Monitoring Tools, Narrative Reports, Inception Report, Terms of Reference for implementation of Project Components, Memoranda of Agreement
List the Website address (URL) of project.	Updates may be provided through the EMB website at www.emb.gov.ph

2. Progress towards addressing project priorities and in delivering expected products

Please complete Annex 1: Questionnaire on Status of Implementation of National Communication Project. **The questionnaire starts in Page 6 of this document.**

Rating of Project Progress

Please rate the project progress as per the following nomenclature: Highly Satisfactory (HS), Satisfactory (S), Marginally Satisfactory (MS), Marginally Unsatisfactory (MU), Unsatisfactory (U), or Highly Unsatisfactory (HU). An explanation of this nomenclature is provided on page 8 of this document.

	<i>2009 Rating</i>	<i>Comments²</i>
National Project Manager/Coordinator Ms. Joyceline A. Goco	MS	Implementation of the major components is underway but major delays in the completion of technical reports were encountered and thus projected deadlines were not met and certain corresponding payments have not yet been released. Foremost reason for this setback are issues over ownership, attribution of and access to various data, pushing sector-specific assessments further down the timeline for lack of inputs. This is further compounded by limited resources, particularly in terms of equipment and skilled manpower for the generation of relevant climate baselines and scenarios in the spatial and temporal resolution required by the models. However, it is expected that all major outputs required for the integration phase to finalize the SNC document will be ready within the latter half of 2009 in preparation for the national consultation.
UNDP Country Office Ms. Amelia Dulce Supetran Team Leader, Energy and Environment Unit	MS	The project continues to encounter setbacks in the completion of the scheduled outputs covered within the reporting due to several issues including the limited resources, attribution of and access to various data, and lack of skilled manpower for the generation of relevant climate baselines and scenarios in the spatial and temporal resolution required by the models. Nevertheless, efforts are being exerted by the Implementing Partner to ensure that the necessary outputs required for the integration phase to finalize the SNC document will be ready within the latter half of 2009 in time for the national consultation.
UNDP Regional Technical Advisor		

Action Plan to Address Marginally Unsatisfactory, Unsatisfactory or Highly Unsatisfactory Rating

Where a rating of MU, U or HU is noted above describe the actions to be taken to address this:

<i>Action to be Taken</i>	<i>By Whom?</i>	<i>By When?</i>

3. Adjustments to Project Time Frame

If the duration of the project or the project work schedule has been adjusted since project approval please explain the changes and the reasons for these changes.

² Comment on the rating for 2009 and also on any observable trends from 2007 – 2009.

<i>Change</i>	<i>Reason for Change</i>	<i>Scope of delay (in months)</i>
<p>Delivery Dates of Technical Reports by the Thematic Groups (Responsible Parties for the Key Components) , which will affect the integration phase of all components into the SNC document</p>	<p>GHG Inventory: delays in scheduling of sector-specific training-consultation-workshops with relevant stakeholders; low representation of government agencies in training-consultation-workshops for certain sectors for which additional meetings would need to be organized; collection of additional activity data and recalculations to refine the GHG Inventory based on the issues and concerns raised by the participants; delays in report writing for sector-specific analyses, manual and submission of worksheets by sector experts</p> <p>Vulnerability and Adaptation Assessment: delays in the generation of relevant climate baselines and scenarios in the spatial and temporal resolution required by models as well as issues over ownership, attribution of and access to various data, pushing sector-specific assessments further for lack of inputs; insufficiency of historical and projected climate information for some of the sectoral analyses; requested data not provided for a pilot site; delays in report writing for sector-specific analyses and manual by sector experts</p> <p>Public Awareness and Education: survey instrument was not consulted with the UNDP and IP; conduct of the indicative survey was extended; reports on status of implementation from time of the approval of inception report in March was only provided in mid-June after issuance of repeated reminders</p>	<p>GHG Inventory due in June 2009; not yet submitted as of end of this reporting period</p> <p>V&A Assessment: Draft V&A in December 2008 to May 2009 (5 months) and V&A Report and Report on Constraints, Gaps and Needs in June 2009; not yet submitted as of end of this reporting period</p> <p>Research and Systematic Observation Draft in April 2009; not yet submitted as of end of this reporting period (2 months so far)</p> <p>Adaptation Tech Transfer in April 2009; not yet submitted as of end of this reporting period (2 months so far)</p> <p>Draft Report on country's efforts to implement the New Delhi Work Programme, including activities related to information sharing and networking, report on baseline level of public perception on climate change in April 2009; not yet submitted as of end of this reporting period (2 months so far)</p> <p>Annotated photo-documentation of climate change in the Philippines, IEC prototypes and the report on constraints, gaps and needs in June 2009; not yet submitted as of end of this reporting period</p>
<p>Deferral of time of Conduct of the National Consultation,</p>	<p>The National Consultation intends to consult relevant stakeholders on the Technical Reports required from the Thematic Groups but this activity will be consequently postponed by the delays in submission of said reports</p>	<p>Target date is 3rd Quarter of 2009 but may have to be moved to the last quarter of the year as major technical reports have not yet been submitted as of end of 2nd half of 2009</p>

impinging on the integration phase of all components into the SNC document		
--	--	--

3. Financial Information: cumulative since project started to 30 June 2009.

<i>Name of Contributor</i>	<i>Amount committed in Project Document³ US\$</i>	<i>Estimated Total Disbursement to 30 June 2009 US\$</i>	<i>Expected Total Disbursement by end of project US\$</i>
GEF Contribution	\$15,000 for the self-assessment + \$405,000 for the project	\$189,090.29 (per Combined Delivery Report)	\$15,000 for the self-assessment + \$405,000 for the project

5. Good Practice in this reporting period.

Were any problems encountered? If so, how were they addressed?

<i>Problem</i>	<i>Solution</i>
Data ownership and attribution and access	Intervention by senior level officials of relevant agencies and institutions; Close consultation with the UNDP CO, strengthening ownership clauses in agreements between IP and RPs and between RPs and its team of consultants. Proxy data and expert judgment used in the absence of certain data; secondary sources tapped when primary sources were not able to provide the required data and information

General Comments:

Is there anything noteworthy/special/critical that was learned this year that is important to share with other projects so they can avoid this mistake/make use of this opportunity?

While there were governance issues, the formation of new co-terminus ad hoc bodies further heightened awareness on climate change. Coupled with the conduct of a series of consultations with and among the thematic groups and relevant stakeholders through training workshops and focused group discussions, this yielded increased participation of a wide array of stakeholder groups and enhanced general interest in the national communication process. Yet, this also amplified the demand for time and services of the already limited pool of national experts in various other climate change-related projects and activities.

What would you do differently if you were to begin the project again?

Formalize mechanisms for data sharing among key data holders and generators

³ Committed amounts are those shown in the approved Project Document. In the case of national communication enabling activities, the total amount would be \$420,000 in most cases (e.g. \$15,000 for the self-assessment + \$405,000 for the project)

QUESTIONNAIRE ON STATUS OF IMPLEMENTATION OF NATIONAL COMMUNICATION (NC)

Please insert the latest SNC Questionnaires.

Please note that UNDP must report to GEF on the status of the NC projects twice a year⁴. Thus, as long as your NC project is under implementation, you will be asked to kindly complete this questionnaire in the second quarter (through this PIR) and the fourth quarter of each year (independent from the PIR).

I. Country:

Republic of the Philippines

II: Your details

Name	Role in NC project	Contact details
Ms. Joyceline A. Goco	NC Project Manager/ Coordinator Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If you are not the NC Coordinator, please indicate your function in the project	Institution: Environmental Management Bureau serving as the Secretariat of the Inter-Agency Committee on Climate Change – Department of Environment and Natural Resources (IACCC Secretariat, EMB-DENR) Address: 2/F HRDS Bldg, EMB, DENR Compound, Visayas Avenue, Diliman, Quezon City Telephone number: +632.920.22.51; 928.4674; 929.6626 loc 2109 Email: joy.goco@yahoo.com

⁴ The GEF is mandated to report to the Climate Change Convention during the annual meetings of the Subsidiary Bodies (May or June) and the Conference of the Parties (December).

III: Organizational aspect of the NC project

Project coordinator	Steering Committee	Thematic teams			
		GHG Inventory	V&A	Mitigation	Cross-cutting issues
Appointed? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Appointed? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Team leader appointed? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Team leader appointed? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Team leader appointed? <input type="checkbox"/> Yes <input type="checkbox"/> No * Combined GHG Inventory and Mitigation into one	Team leader appointed? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If yes, please provide contact details of the Coordinator: In lieu of a Project Coordinator, a Project Director and a Project Manager have been designated: Project Director: Engr. Julian D. Amador Project Manager: Ms. Joyceline A. Goco <i>(please see II above for contact details)</i>	If yes, please list the institutions represented by the Committee: Per the Project Document, the member-institutions of the IACCC act as the Project Steering Committee, namely: PAGASA-DOST, DOE, DPWH, DOTC, DA, PNCC, Earth Savers Movement,	If yes, please provide contact details of the team leader: Fr. Jose Ramon T. Villarin, S.J., Ph.D. Manila Observatory (MO) jvillarin@xu.edu.ph T (+632)426-5921 to 23 local 312 F (+632)426-6141	If yes, please provide contact details of the team leader: Dr. Rosa T. Perez Philippine Rural Reconstruction Movement, Inc. (PRRM) rtperez1@yahoo.com; rtperez.ph@gmail.com T (+632) 417.28.00 F (+632) 936.82.81	If yes, please provide contact details of the team leader: Fr. Jose Ramon T. Villarin, S.J., Ph.D. Manila Observatory (MO) jvillarin@xu.edu.ph T (+632)426-5921 to 23 local 312 F (+632)426-6141	If yes, please provide contact details of the team leader: * No planned Thematic team leader for cross-cutting issues; Project Document identified only 3 thematic areas: V&A, GHGI and Mitigation. Since GHGI and Mitigation were combined into one, the 3 rd component is the public awareness. These 3 components will address cross-cutting issues, which will be synthesized by the integrator-writer of the SNC document.
If no, when to expect?	If no, when to expect?	If no, when to expect?	If no, when to expect?	If no, when to expect?	If no, when to expect?

IV: Implementation of key NC components

GHG Inventory	V&A Assessment	Mitigation Analysis	Other information	Draft NC
<input type="checkbox"/> Not yet initiated Please indicate when to initiate: <input type="checkbox"/> Work in initial phase (< 25% completed) <input type="checkbox"/> Work in progress (25~50% completed) <input checked="" type="checkbox"/> Work at advanced phase (> 50% completed / >75% completed) <input type="checkbox"/> Completed	<input type="checkbox"/> Not yet initiated Please indicate when to initiate: <input type="checkbox"/> Work in initial phase (< 25% completed) <input type="checkbox"/> Work in progress (25~50% completed) <input checked="" type="checkbox"/> Work at advanced phase (> 50% completed / >75% completed) <input type="checkbox"/> Completed	<input type="checkbox"/> Not yet initiated Please indicate when to initiate: <input type="checkbox"/> Work in initial phase (< 25% completed) <input type="checkbox"/> Work in progress (25~50% completed) <input checked="" type="checkbox"/> Work at advanced phase (> 50% completed / 50-75% completed) <input type="checkbox"/> Completed	<input type="checkbox"/> Not yet initiated Please indicate when to initiate: <input type="checkbox"/> Work in initial phase (< 25% completed) <input type="checkbox"/> Work in progress (25~50% completed) <input checked="" type="checkbox"/> Work at advanced phase (> 50% completed / 50-75% completed) <input type="checkbox"/> Completed	Please indicate when the draft NC is expected: __Feb__(Month) of __2010__(Year)

IV: Challenges and difficulties encountered and envisaged, and assistance required from the NCSP

Please describe any technical and managerial challenges or constraints you encountered or envision for the implementation of the NC; as well as details of any assistance you would need from us to overcome these difficulties (please use additional sheets of page as needed).

You may describe your difficulties, if any, by categories, such as:

1. Administrative constraints

- Human resource difficulties and management challenges at the UNDP CO and counterpart IP
- Responsible Parties contracted under the Project facing challenges in coordination and supervision
- Learning curves for new programming guide for UNDP-supported projects
- Time constraints of national experts involved in key NC components

2. Technical constraints

- 2.1 GHG inventory – challenges in the coordination of the training workshop for the energy sector due to governance issues over climate change matters; low representation of government agencies in training workshops despite reported invitations – PMO requested the conduct of additional meetings with relevant government institutions to facilitate the institutionalization/sustainability of the inventory process, particularly for the sectors of waste, industry and energy (esp. the transport sub-sector) while emphasizing the need for meaningful interaction with relevant stakeholders in the development of a credible national GHG inventory; delayed inputs from the sector experts; inconsistencies in the numbers reported for the LUCF sector – the team performed revisions to the links and formula contained in the OVERVIEW.xls worksheet of the UNFCCC software and agreed on the execution of rounding off of numbers
- 2.2 Mitigation analysis – delayed takeoff due to difficulty in finding qualified experts, leading to a tight schedule for data gathering, model runs, analysis, training and technical report writing
- 2.3 Vulnerability and adaptation assessment – delays in the generation of relevant climate baselines and scenarios in the spatial and temporal resolution required by models as well as issues over ownership, attribution of and access to various data, pushing sector-specific assessments further for lack of inputs

2.4 Others – reports on technology transfer for mitigation and the public awareness component were delayed due to unacceptable outputs; may encounter difficulty in putting relevant policies in place or formalizing national strategies/measures to address climate change and associated development issues / sustainability of efforts undertaken under the SNC

3. Other constraints

Governance issues with the formation of new co-terminus ad hoc bodies with heavy reliance on external sources of support, High volatility in foreign exchange rates, Unprogrammed outlays from the project budget for participation in NCSP workshops

Rating of Project Progress

Please rate the overall project progress as per the categories provided below (HS, S, MS, MU, U, and HU).

<i>Person rating the project progress</i>	<i>2009 Rating</i>	<i>Comments⁵</i>
National Project Manager/ Coordinator	MS	Implementation of the major components is underway but major delays in the completion of technical reports were encountered and thus projected deadlines were not met and certain corresponding payments have not yet been released. Foremost reason for this setback are issues over ownership, attribution of and access to various data, pushing sector-specific assessments further down the timeline for lack of inputs. This is further compounded by limited resources, particularly in terms of equipment and skilled manpower for the generation of relevant climate baselines and scenarios in the spatial and temporal resolution required by the models. However, it is expected that all major outputs required for the integration phase to finalize the SNC document will be ready within the latter half of 2009 in preparation for the national consultation.

Rating of Project Implementation: Based on the original project document, please rate the implementation progress of the project according to the following scale.

Highly Satisfactory (HS)	Implementation of all components is in substantial compliance with the original/formally revised implementation plan for the project. The project can be presented as “good practice”.
Satisfactory (S)	Implementation of most components is in substantial compliance with the original/formally revised plan except for only a few which are subject to remedial action.
Marginally Satisfactory (MS)	Implementation of some components is in substantial compliance with the original/formally revised plan with some components requiring remedial action.
Marginally Unsatisfactory (MU)	Implementation of some components is not in substantial compliance with the original/formally revised plan with most components requiring remedial action.
Unsatisfactory (U)	Implementation of most components is not in substantial compliance with the original/formally revised plan.
Highly Unsatisfactory (HU)	Implementation of none of the components is in substantial compliance with the original/formally revised plan.

⁵ Comment on the rating for 2009 and also on any observable trends from 2007 – 2009.